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| APPLICATION NO.          | FILING DATE         | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |
|--------------------------|---------------------|----------------------|-------------------------|------------------|--|
| 09/766,696 01/19/2001    |                     | Kazuhiro Fujii       | SIC -00-004             | 9689             |  |
| 29863                    | 7590 09/05/2003     |                      |                         |                  |  |
| DELAND LAW OFFICE        |                     |                      | EXAMINER                |                  |  |
| P.O. BOX 69<br>KLAMATH R | IVER, CA 96050-0069 |                      | BOEHLER, AN             | NE MARIE M       |  |
|                          |                     |                      | ART UNIT                | PAPER NUMBER     |  |
|                          |                     |                      | 3611                    |                  |  |
|                          |                     |                      | DATE MAILED: 09/05/2003 | }                |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

| •                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Application N                                                                                 | lo.                                                                                                         | Applicant(s)                                                                                             |  |                    |                                                                  |  |  |  |  |  |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|--|--------------------|------------------------------------------------------------------|--|--|--|--|--|--|
| •                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 09/766,696                                                                                    |                                                                                                             | FUJII, KAZUHIRO                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| r                                                                                                                                                                                                                                       | Office Action Summary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Examiner                                                                                      |                                                                                                             | Art Unit                                                                                                 |  |                    |                                                                  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Anne Marie M                                                                                  |                                                                                                             | 3611                                                                                                     |  |                    |                                                                  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                         | - The MAILING DATE of this communication app                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | pears on the co                                                                               | ver sheet with the                                                                                          | correspondence address                                                                                   |  |                    |                                                                  |  |  |  |  |  |  |
| Period for                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                               | NOIDE AMONTH                                                                                                | (C) EDOM                                                                                                 |  |                    |                                                                  |  |  |  |  |  |  |
| THE N - Extension after S - If the I - If NO - Failur - Any ree                                                                                                                                                                         | ORTENED STATUTORY PERIOD FOR REPLY MAILING DATE OF THIS COMMUNICATION. sions of time may be available under the provisions of 37 CFR 1.1 (SIX (6) MONTHS from the mailing date of this communication. period for reply specified above is less than thirty (30) days, a reply period for reply is specified above, the maximum statutory period to to reply within the set or extended period for reply will, by statute apply received by the Office later than three months after the mailing of patent term adjustment. See 37 CFR 1.704(b). | l36(a). In no event, h ly within the statutory will apply and will ex                         | nowever, may a reply be ti<br>minimum of thirty (30) da<br>pire SIX (6) MONTHS fror<br>on to become ABANDON | mely filed ys will be considered timely. n the mailing date of this communication. ED (35 U.S.C. § 133). |  |                    |                                                                  |  |  |  |  |  |  |
| Status                                                                                                                                                                                                                                  | Responsive to communication(s) filed on 19                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Mav 2003 .                                                                                    |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| 1)[                                                                                                                                                                                                                                     | <u> </u>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                               | n-final.                                                                                                    |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| <i>'</i>                                                                                                                                                                                                                                | 2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This action is non-final.  3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is                                                                                                                                                                                                                                                                                                                                                       |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| 3)□                                                                                                                                                                                                                                     | closed in accordance with the practice under                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | ed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 433 C.G. 213. |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| -                                                                                                                                                                                                                                       | on of Claims                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| 4) 🖾                                                                                                                                                                                                                                    | Claim(s) 1-82 is/are pending in the application                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | n.                                                                                            |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                         | 4a) Of the above claim(s) <u>19-65 and 74-76</u> is/s                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | are withdrawn                                                                                 | from consideration                                                                                          | 1.                                                                                                       |  |                    |                                                                  |  |  |  |  |  |  |
| 5)⊠ Claim(s) <u>68 and 70-73</u> is/are allowed. 6)⊠ Claim(s) <u>1-18,66,67,69 and 77-82</u> is/are rejected. 7)□ Claim(s) is/are objected to.                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                               |                                                                                                             |                                                                                                          |  |                    | Claim(s) are subject to restriction and/or election requirement. |  |  |  |  |  |  |
|                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                               |                                                                                                             |                                                                                                          |  | Application Papers |                                                                  |  |  |  |  |  |  |
| 9)[                                                                                                                                                                                                                                     | 9)  The specification is objected to by the Examiner.  10)  The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| 141                                                                                                                                                                                                                                     | The proposed drawing correction filed on                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | proved by the Examiner.                                                                       |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| 11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12) The oath or declaration is objected to by the Examiner. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                               |                                                                                                             |                                                                                                          |  |                    | under 35 U.S.C. §§ 119 and 120                                   |  |  |  |  |  |  |
| 42V                                                                                                                                                                                                                                     | Acknowledgment is made of a claim for forei                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | er 35 U.S.C. § 119                                                                            | 9(a)-(d) or (f).                                                                                            |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                         | 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| a,                                                                                                                                                                                                                                      | The state of the principle decomments have been received                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                         | <ul> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> <li>14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).</li> </ul>                           |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| *                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| 15)                                                                                                                                                                                                                                     | a) The translation of the foreign language provisional application has been received.  5) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.                                                                                                                                                                                                                                                                                                                                                            |                                                                                               |                                                                                                             |                                                                                                          |  |                    |                                                                  |  |  |  |  |  |  |
| Attachme                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                               |                                                                                                             | (DTO 442) DNo(e)                                                                                         |  |                    |                                                                  |  |  |  |  |  |  |
| 2) \ \ Not                                                                                                                                                                                                                              | tice of References Cited (PTO-892)<br>tice of Draftsperson's Patent Drawing Review (PTO-948)<br>ormation Disclosure Statement(s) (PTO-1449) Paper No(s                                                                                                                                                                                                                                                                                                                                                                                          | 3)                                                                                            | 4) Interview Sumi 5) Notice of Inform 6) Other:                                                             | mary (PTO-413) Paper No(s) nal Patent Application (PTO-152)                                              |  |                    |                                                                  |  |  |  |  |  |  |
| U.S. Patent and                                                                                                                                                                                                                         | d Trademark Office                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Action Summan                                                                                 |                                                                                                             | Part of Paper No. 13                                                                                     |  |                    |                                                                  |  |  |  |  |  |  |

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1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claims 1, 66, 67, 77-82 are 35 U.S.C. 102(b as being anticipated by Wheaton Wheaton shows a bracket apparatus, as broadly recited including a horizontal base 23 with a horizontal top surface receiving downward pressure from a load 34 placed thereon. One bracket 33a connects the base to a chain stay and a second bracket 27 connects the base to a seat stay.
- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claimsw 1-18, 66,67, 69, 77-82 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ethington in view of Chappell.

Ethington shows a base for actuator 50 including an upper flat surface of the bottom wall surface and vertical walls supporting a load thereon. It also includes pair of brackets. The brackets are connected to the angled down tube rather than a horizontal chain stay.

Chappell shows a support for a transmission actuator including two brackets connecting the actuator to a chain stay.

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It would have been obvious to one of ordinary skill in the art to mount the Ethington control device to the chain stay, as taught by Chappell, in order to mount the controller proximate the sensing device for a more compact arrangement.

- 5. Claims 68, 70-73 allowed.
- 6. Applicant's arguments with respect to claims 1-18, 66, 67, 69, and 77-82 have been considered but are most in view of the new ground(s) of rejection.
- 7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Ryan and Blanchard each show a bracket mounted to a chain stay.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anne Marie M Boehler whose telephone number is 703-308-0422. The examiner can normally be reached on 7:30-5:00, Monday-Thursday, and alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lesley Morris can be reached on 703-308-0629. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9326 for regular communications and 703-872-9327 for After Final communications.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1113.

Anne Marie M Boehler Primary Examiner Art Unit 3611

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August 11, 2003